



Your Gateway to Investing!

Account Opening Form



INDEX OF DOCUMENT

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3.	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market.	Annexure 2 Capital & Commodity
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Name of Trading Member	Fyers Securities Private Limited (Hereinafter referred to as "Fyers")
Registration Details	SEBI - INZ000008524; NSE - 90061; BSE - 6697; MCX - 56100; CDSL - IN-DP -432-2019
Address	Registered - No. 19 & 20, 3rd Main Road, Gandhinagar, Bangalore - 560009 Correspondence- Same as above
Compliance Officer	Siva Trinadh Kumar G; 080 4625 1111; compliance@fyers.in
CEO	Tejas N K; 080 4625 1111; management@fyers.in.

Clearing Member - Cash & MF Segments	Fyers Securities Private Limited
Clearing Member - Derivatives	NSE F&O, CDS & COM: ICICI Bank Ltd. SEBI Reg No. - INZ000197438 BSE F&O, CDS & COM: ICICI Bank Ltd. SEBI Reg No. - INZ000197438 MCX: Globe Commodities Limited. SEBI Reg. No.- INZ000024939

For any grievance/dispute please contact Fyers at the above mentioned address or management@fyers.in and Phone no. 080 4625 1111		
In case not satisfied with the response, please contact the concerned exchange(s) at:		
NSE: ignse@nse.co.in or contact at 022-26598100	BSE: is@bseindia.com or contact at 022-22728097	MCX: grievance@mcxindia.com or contact at 022-67318888

INSTRUCTIONS/CHECK LIST FOR FILLING KYC FORM

A. Important points

1. Self attested copy of PAN card is mandatory for all clients, including Promoters /Partners /Karta /Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI) List of documents admissible as Proof of Identity:

1. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
2. PAN card with photograph.
3. Identity card / document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/ Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address

(POA) List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks / Scheduled Co-Operative Bank / Multinational Foreign Banks / Gazetted Officer / Notary Public / Elected representatives to the Legislative Assembly/ Parliament / Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII / sub account, Power of Attorney given by FII / sub-account to the Custodians (which are duly notarized and / or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN (*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and /or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.

2. Investors residing in the state of Sikkim.
3. UN entities / multilateral agencies exempt from paying taxes / filing tax returns in India.
4. SIP of Mutual Funds up to Rs 50,000/- p.a.
5. In case of institutional clients, namely, FII, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial / Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

F. Additional documents in case of trading in derivatives segments - illustrative list:

Copy of ITR Acknowledgement	Copy of Annual Accounts
In case of salary income - Salary Slip, Copy of Form 16	Net worth certificate
Copy of demat account holding statement.	NBank account statement for last 6 months
Any other relevant documents substantiating ownership of Assets.	Self declaration with relevant supporting documents.

In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time.

G. Copy of cancelled cheque leaf/ pass book/bank statement specifying name of the constituent, MICR Code or/ and IFSC Code of the bank should be submitted.

H. Demat master or recent holding statement issued by DP bearing name of the client.

For Individuals:

1. Stock broker has an option of doing 'in-person' verification through web camera at the branch office of the stock broker/sub-broker's office
2. In case of non-resident clients, employees at the stock broker's local office, overseas can do in-person verification. Further, considering the infeasibility of carrying out 'In-person' verification of the non-resident clients by the stock broker's staff, attestation of KYC documents by Notary Public, Court, Magistrate, Judge, Local Banker, Indian Embassy / Consulate General in the country where the client resides may be permitted.

For non-individuals:

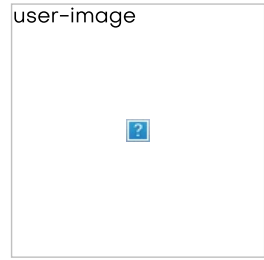
1. Form need to be initialized by all the authorized signatories
2. Copy of Board Resolution or declaration (on the letterhead) naming the persons authorized to deal in securities on behalf of company/others & their specimen signatures.

Additional Instructions:

1. Signatures can be in English or Hindi or any of the other languages contained in the 8th Schedule of the Constitution of India. Thumb impressions and signatures other than the above mentioned languages must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate/ Special Executive Officer under his/her officials.
2. Signatures should be preferably in black ink.
3. Details of the Names, Address, Telephone Number(s), etc., of the Magistrate / Notary Public / Special Executive Magistrate / Special Executive Officer are to be provided in case of attestation done by them.
4. In case of additional signatures (for accounts other than individuals), separate annexures should be attached to the account opening form.
5. In case of applications containing a Power of Attorney, the relevant Power of Attorney or the self-certified copy thereof, must be lodged along with the application.
6. All correspondence / queries shall be addressed to the first/ sole applicant.
7. Strike off whichever option, in the account opening form, is not applicable.

A. Identity Details (Please see guideline overleaf)

1. **Name of Applicant** (As appering in supporting identification Documents).
Name _____
Maiden Name _____
Fathers/Spouse Name _____
Mother Name _____



2. **Gender** Male Female Transgender **B.Marital Status** Single Married Other

Sign Here

C. Date Of Birth _____ **Place Of Birth** _____

3. **Nationality** Indian Other _____

4. **Status** (Please tick) Resident Individual Non Resident Foreign National

5. **PAN** _____ **Aadhaar Number** _____ **CKYC Number** _____

6. **Proof of Identity submitted** (Please tick)

PAN Other _____

B. Address Details (Please see guidelines overleaf)

1. **Address of Correspondance**

City/Town/Village _____ Pin Code _____

State _____ Country India _____

2. **Contact Details**

Tel. (Off) _____ Tel. (Res) _____

Mobile _____ FAX _____

Email ID _____

3. **Proof of address to be provided by Applicant. Please submit ANYONE of the following valid documents & tick against the document attached.**

- Aadhaar Card Ration Card Registered Lease/Sale Aggrement of Residence Driving Licence Voter Identity Card
- Passport *Latest Bank A/C Statement /Passbook *Latest Telephone Bill(Only Land Line) *Latesd Electric Bill *Latest Gas Bill
- Other Please Specify _____

*Not more than 3 months old **Validity/Expiry date of proof of address submitted.** _____

4. **Permanent Address of Resident Applicant if different from above B1 OR Overseas address(Mandatory) for Non-Resident Applicant**

City/Town/Village _____ Pin Code _____

State _____ Country India _____

5. **Proof of address to be provided by Applicant. Please submit ANYONE of the following valid documents & tick against the document attached.**

- Aadhaar Card Passport Ration Card Registered Lease/Sale Agreement of Residence Driving Licence
 Voter Identity Card *Latest Bank A/C Statement /Passbook *Latest Telephone Bill(Only Land Line) *Latest Electric Bill *Latest Gas Bill
 Other Please Specify _____

*Not more than 3 months old **Validity/Expiry date of proof of address submitted.** _____

6. **AnyOther Information** _____

DECLARATION

SIGNATURE OF APPLICANT

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein immediately. In case any of above information found to be false or untrue or misleading or misrepresenting. I am/we are aware that I/we may be held liable for it.

PLACE _____ DATE _____

OFFICIAL USE ONLY

IPV DONE ON _____

Fyers Securities Pvt Ltd
CKYC Institution Code: IN0129

(Original verified) Self certified document copies received

(Attested) True Copies of Document received. Main intermediary.

A. BANK ACCOUNT DETAILS

Account Type:	<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> Others	In case of NRI:	<input type="checkbox"/> NRE <input type="checkbox"/> NRO
Bank Name:			
Branch address:			
Account Number			
MICR Number:		IFSC code:	

B. OTHER DETAILS
1. Gross Annual Income Details (please specify):

 Income Range per annum: Below Rs 1Lac 1-5 Lac 5-10 Lac 10-25Lac 25 Lacs- 1 Crore >1 Crore

or Net-worth as on (date) _____ (Net worth should not be older than 1 year)

2. Occupation (please tick any one and give brief details) :
 Public Sector Private Sector Govt Service Business Professional Agriculturist Retired Housewife
 Student Others

3. Please tick, if applicable: Politically Exposed Person (PEP) Related to a Politically Exposed Person (RPEP) None

4. In what capacity do you trade commodities?
 Farmer / Farmer Producer Organisation Value Chain Participant Foreign Participants Proprietary Trader
 Domestic Financial Institutional Investor Others

C.PAST ACTIONS

Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the applicant/ constituent or its partners, promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3

D. DEPOSITORY ACCOUNT(S) DETAILS

Depository:	<input type="checkbox"/> NSDL <input type="checkbox"/> CDSL
Depository Name:	Fyers Securities Pvt Ltd
Beneficiary Name:	
DP ID:	12089400
BO ID:	

E. TRADING PREFERENCES

*Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client.

Segment	NSE	BSE	MCX
Equity			
Equity Derivatives			
Currency Derivatives			
Commodity Derivatives			
Mutual Funds			

If, in future, the client wants to trade on any new segment/new exchange, separate authorization/letter should be taken from the client by the stock broker.

F. Dealings through other stock brokers

Name of Stock Broker		Sub Broker (If any)	
Client Code		Exchange(s)	
Website			
Details of disputes/dues pending from/to such stock broker			

G. INTRODUCER DETAILS (optional)

Name of the Introducer:

Status of the Introducer: Sub-broker Remisier Authorized Person Existing Client Others

Phone no.: **Signature (Optional):**

H. NOMINATION DETAILS (for individuals only)

- I/We do not wish to nominate
- I/We wish to nominate

I. ADDITIONAL DETAILS

Whether you wish to receive: Physical Contract Note Electronic Contract Note (ECN)

Mode in which you wish to receive the RDD, Rights & Obligations, and Guidance note: Physical Electronic

Email id(if applicable) _____

Whether you wish to avail of the facility of internet trading/ wireless technology: Yes No

Number of years of Investment/Trading Experience: No experience Years of experience

DECLARATION

- I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
- I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
- I/We further confirm having read and understood the contents of the 'Rights and Obligations' document (s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.

SIGNATURE OF APPLICANT

Place: **Date:** **UCC:**

FOR OFFICE USE ONLY

	Documents verified with Originals	Client Interviewed By	In-Person Verification done by
Name of Employee			
Employee Code			
Designation of the employee			
Date			
Signature			

I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

Authorised Signatory Name**Authorised Signatory and Seal / Stamp of Fyers Securities Pvt. Ltd****Date:** _____

Additional KYC Form for Opening a Demat Account

To Be Filled by the Depository Participant			
Application Number		Date	
DP Internal Reference No.		DP ID: 12089400	BO ID:
Type of Account (Please Tick Whichever is Applicable)			
Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Margin Trading A/C (MANTRA)	<input type="checkbox"/> Individual Director <input type="checkbox"/> Others(Specify) <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Minor	
NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Depository Receipts	<input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> Others(Specify) <input type="checkbox"/> NRI Non - RepatriablePROMOTE	
Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National	<input type="checkbox"/> Others(Specify)	
Holder Details (To Be Filled by the Applicant in Block Letters)			
I/We Request You To Open A Demat Account In my/ our Name as per following details:			
PAN No.:			UID No.:
Second Holder's Name:			
PAN No.:			UID No.:
Third Holder's Name:			
PAN No.:			UID No.:
Name*:			
*In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc. although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc. should be mentioned above.			
Details of Guardian (In Case the Account Holder Is Minor)			
Guardian's Name:			
Relationship with the Applicant:			PAN No.:
I / We instruct the DP to receive each and every credit in my/our account. (If not marked, the default option would be 'Yes') <input type="checkbox"/> Yes <input type="checkbox"/> No	I / We would like to instruct the DP to accept all the pledge instructions in my/our account without any other further instruction from my/our end (If not marked, the default option would be 'No') <input type="checkbox"/> Yes <input type="checkbox"/> No		
Account Statement Requirement <input type="checkbox"/> As Per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly	I / We request you to send Electronic Transaction-cum- Holding Statement at the email ID. <input type="checkbox"/> Yes <input type="checkbox"/> No		
I / We would like to share the email ID with the RTA <input type="checkbox"/> Yes <input type="checkbox"/> No	II / We would like to receive the Annual Report (Tick the applicable box. If not marked, the default option would be in Physical) <input type="checkbox"/> Physical <input type="checkbox"/> Electronic <input type="checkbox"/> Both (Physical & Electronic)		
I / We wish to receive dividend / interest directly in to my bank account as given below through ECS (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time] <input type="checkbox"/> Yes <input type="checkbox"/> No			

I/We give my/our confirmation to receive the account opening document and welcome letter through email and digitally signed With reference to my/our application for opening of a Demat account with you. I / We wish to receive all documents related to account opening documents, welcome letter and CMR copy (digitally signed) on the registered email id provided. None of these would be physically sent unless requested for the same.

First / Sole Holder Signature	Second Holder Signature (If Applicable)	Third Holder Signature (If Applicable)

SMS Alert Facility Refer to Terms & Conditions given as Annexure - 2.4	Mobile No. +91 _____ [[Mandatory , if you are giving Power of Attorney (POA)] (if POA is not granted & you do not wish to avail of this facility, cancel this option).								
Transactions Using Secured Texting Facility (TRUST). Refer to Terms and Conditions Annexure - 2.6	I/We wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same. <input type="checkbox"/> Yes <input type="checkbox"/> No								
	I/We wish to register the following clearing member Ids under my / our below mentioned BO ID registered for TRUST								
	<table border="1" style="width: 100%;"> <thead> <tr> <th>Stock Exchange Name / ID</th> <th>Clearing Member Name</th> <th>Member ID (Optional)</th> </tr> </thead> <tbody> <tr> <td> </td> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> <td> </td> </tr> </tbody> </table>	Stock Exchange Name / ID	Clearing Member Name	Member ID (Optional)					
Stock Exchange Name / ID	Clearing Member Name	Member ID (Optional)							
Easi	To register for e asi, please visit our website www.cdslindia.com . Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.								

Option Form For Issue of DIS Booklet		
DP ID: 12089400	BO ID:	Date:
To: Fyers Securities Pvt. Ltd., Dear Sir, I/We hereby state that: (select one of the options given below)		
<input type="checkbox"/> Option 1-	I/We require you to issue Delivery Instruction Slip (DIS) booklet to me/us immediately on opening my/our CDSL account though I/We have issued a Power of Attorney (POA) in favor of Fyers Securities India Pvt. Ltd. for executing delivery instructions for settling stock exchange trades (Settlement related transactions) effected through such Clearing Member.	
<input type="checkbox"/> Option 2-	I/We do not require Delivery Instruction Slip (DIS) for the time being. Since I/We have issued a POA/EDIS in favor of Fyers Securities India Pvt. Ltd. for executing delivery instructions for settling stock exchange trades (Settlement related transactions) effected through such Clearing Member. However, the Delivery Instruction Slip (DIS) booklet should be issued to me/us immediately on my/our request at any later date.	

Authorisation to Opt/Maintain Regular Demat Account (Non-BSDA)

Keeping in view my past and proposed transaction and holding, I would like to continue / avail normal demat account.

I/We have also noted that being a normal demat account (Non BSDA), normal AMC as per the company's demat tariff would be applicable. The tariff for normal demat account (NoN BSDA) are already provided and agreed under tariff sheet in KYC Form and changed through intimation by the company from time to time.

Terms and Conditions

1. I/we hereby confirm and understand that by providing this authorization we specifically waive the right to assess my demat account as BSDA account even if applicable by eligibility.
2. Salient Features of BSDA are kept on Fyers Securities Pvt. Ltd website. I/we hereby confirm to have read and understood the salient features of BSDA account
3. I/ we confirm and understand that my demat account would be charged and treated as normal demat account (Non BSDA) until opted otherwise by a written communication to Fyers Securities Pvt. Ltd.

First / Sole Holder Signature	Second Holder Signature (If Applicable)	Third Holder Signature (If Applicable)

CLIENT ACKNOWLEDGMENT

To,
Fyers Securities Pvt. Ltd.,
Bangalore

Dear Sir or Madam,

Sub: This is to acknowledge the receipt of the following documents. I / We further state and confirm that I/ we have read and understood all the clauses of aforesaid documents.

I/We hereby acknowledge receipt of the following documents

1. Duly Executed Copy of KYC
2. Rights and Obligations of Stock Brokers, Sub-brokers and Clients (including additional rights & obligations in case of internet / wireless technology based trading).
3. Risk Disclosure Document for Capital Market and Derivatives Segments
4. Guidance Note – Do's and Don'ts for Trading on the Exchange(s) for Investors
5. Policies and Procedures Document pursuant to the SEBI circular dated December 03, 2009.
6. The Fyers Securities Pvt. Ltd. Tariff sheet.
7. General Terms and Conditions governing securities trading and broking services of Fyers Securities Pvt Ltd.
8. Internet & Wireless Technology Based Trading Facility Provided by the Stock Broker to the Client.
9. All other mandatory and voluntary client registration documents.

I/We understand that the Voluntary documents executed by me/us are out of my/our own free will. I state that I have read and understood all of the above documents and these documents are binding upon me.

I/We also confirm that I/we have received the relevant clarifications, if any, wherever required from the officials of Fyers Securities Pvt. Ltd

Yours faithfully,

Client Name:

Date:

First / Sole Holder Signature	Second Holder Signature (If Applicable)	Third Holder Signature (If Applicable)

TARIFF SHEET

Date:

From,

Client Name:

To,

Fyers Securities Pvt. Ltd

Dear Sir / Madam,

I would like to subscribe to the Fyers Securities Pvt. Ltd. for trading and demat account and request Fyers Securities Pvt. Ltd. to apply brokerage charges as mentioned and as per the terms and conditions of Fyers Securities Pvt. Ltd.

I/We agree to pay the charges as per following charge structure with Fyers Securities Pvt. Ltd.

Charges Head	Charges
Equity Delivery	0.5% or Rs. 200 per executed order (whichever is lower)
Equity Intraday	Not Applicable
Futures (Equity, Currency, Commodity)	Not Applicable
Options (Equity, Currency, Commodity)	Not Applicable
Call & Trade Services	Rs. 50 per executed order
Admin Square Off Charges	Rs. 50 per executed order
Contract Note Charges	Rs. 100 per contract note*
Physical Delivery	0.5% of the contract value
Expires / Exercised / Assigned Futures & Options Contracts	Rs. 20/-
Cheque Bounce Charges	Rs. 0/-
Payment Gateway Charges	Rs. 0/-

*Courier charges will be applicable for opting to receive physical contract notes.

Demat Charges Head	Charges
Account opening Charges	Rs. 0/-
Annual Maintenance Charges	Rs. 0/-
Credit Charges (Buy Receive)	Rs. 0/-
Debit Charges (Sell)	Rs. 7/- + Rs 5.50/- (CDSL Charges)
Pledge Request	Rs. 0/-
Unpledge Request	Rs. 0/-
Pledge Invocation	Rs. 0/-
Dematerialization Charges	Rs. 0/-
Rematerialization Charges	Rs. 0/-
Conversion of MF Units / Destatementisation	Rs. 0/-
Reconversion of MF units in to SOA or Redemption/Restatementisation Per SOA	Rs. 0/-
Failed / Rejected Transactions	Rs. 0/-
Periodic Statement	Nil charges by Email. Rs. 50 + courier charges at actuals for physical statements
Adhoc / Non Periodic Statement Requests	Rs. 0/-
Additional Delivery Instruction Book (5 leaves)	Rs. 100 + courier charges at actuals
Modification in Client Master List (CML)	Rs. 50/-
Modification in KRA / Download	Rs. 50/-
Stamp Charges	Rs. 50/-

1. In addition to the above monthly subscription charges, the following statutory charges will also be levied at actuals:
 - a. Exchange transaction charges
 - b. Clearing charges
 - c. Securities / Commodity Transaction Tax
 - d. Goods & Services Tax
 - e. SEBI Turnover fees
 - f. Stamp Duty
 - g. Depository Charges
2. Charges/ Service standards are subject to revision at the sole discretion of Fyers Securities Pvt. Ltd. and as informed by communication sent by ordinary post/email.
3. Charges quoted above are for the services listed. Any service not quoted above will be charged separately at the time of availing such service, upon your consent.
4. Interest at 18% p.a will be charged on the outstanding bill amount if not paid within the due date.

First / Sole Holder Signature	Second Holder Signature (If Applicable)	Third Holder Signature (If Applicable)

FATCA /CRS DECLARATION /SELF CERTIFICATION FOR INDIVIDUAL

	First Account Holder	Second Account Holder	Third Account Holder
Name of the Account Holder			
Indicate () your Tax Residency / Citizenship / Nationality			
If ticked on "Others" and/or "U.S.A", please provide all details under point no. 2,3,4,5 below:			
Specify City and Country of Birth			
Specify Country(ies) of Tax Residency/ Citizenship /Nationality / Green card holder, sother than India			
Tax Identification Number (for U.S.A.) or its functional equivalent (other than U.S.A.)			
Source of wealth	<input type="checkbox"/> Salary <input type="checkbox"/> Gift <input type="checkbox"/> Royalty <input type="checkbox"/> Prize Money <input type="checkbox"/> Buisness <input type="checkbox"/> Rental <input type="checkbox"/> Property <input type="checkbox"/> Others	<input type="checkbox"/> Salary <input type="checkbox"/> Gift <input type="checkbox"/> Royalty <input type="checkbox"/> Prize Money <input type="checkbox"/> Buisness <input type="checkbox"/> Rental <input type="checkbox"/> Property <input type="checkbox"/> Others	<input type="checkbox"/> Salary <input type="checkbox"/> Gift <input type="checkbox"/> Royalty <input type="checkbox"/> Prize Money <input type="checkbox"/> Buisness <input type="checkbox"/> Rental <input type="checkbox"/> Property <input type="checkbox"/> Others

Declaration

I / We hereby declare, agree and confirm the following:

1. The details furnished above are true to the best of my knowledge and belief and shall undertake to inform Fyers Securities Pvt Ltd within 30 days, in case of any change in the above given status on a future date;

2. If I /we am/are U.S. person or tax resident of a reportable foreign jurisdiction (other than U.S.), my account details, would be reported by Fyers Securities Pvt Ltd to the relevant tax authority. or information may be shared with concerned Asset Management Companies (AMCs) or to any of the Government Agencies / Tax authorities / Regulators / Exchanges / Depositories of India or of any country other than India;

First / Sole Holder Signature	Second Holder Signature	Third Holder Signature

What is FATCA/ CRS?

The U.S. government introduced the Foreign Account Tax Compliance Act, 2010 (FATCA) for obtaining information on accounts held by U.S. taxpayers in other countries. Further, Organization for Economic Co-operation & Development (OECD) and G20 countries agreed for automatic exchange on information through Common Reporting Standards (CRS). The Government of India has signed an Inter-Government Agreement (IGA) with US and has also joined the Multilateral Competent Authority agreement (MCAA) for automatic sharing of information with member countries of OECD and G20. By virtue of India signing an IGA with US and joining MCAA, Indian financial institutions will have to provide the required financial information to Indian tax authorities which in turn would forward reportable information to US IRS and member countries of OECD and G20 countries.

US Person means

In case of individuals, U.S. person means a citizen or resident of the United States. Persons who would qualify as U.S. persons could be born in United States, born outside the United States of a US parent, Naturalized citizens, Green Card Holders, tax residents.

Who is Reportable Person (Non US) under Common Reportable Standards (CRS)?

Under Common Reportable Standards (CRS), reportable person means Tax residents of a reportable foreign jurisdiction other than U.S. (Please note the above information is provided only for quick reference to customers. You are requested to consult a legal/ tax advisor if in doubt.)

Documents to be collected if Customer's Country of birth is U.S. but declare that he/ she are not a U.S. person

1. Certificate of relinquishment of citizenship (Loss of nationality certificate); OR
2. Self certification for stating reasons for not having such a certificate despite relinquishment U.S. citizenship
3. Self certification for stating reasons for not obtaining U.S. citizenship at birth.s

Internet & Wireless Technology Based Trading Facility Provided by the Stock Broker (Fyers Securities Pvt Ltd.) to the Client

(All the clauses mentioned in the "Rights and Obligations" document(s) shall be applicable. Additionally, the clauses mentioned herein shall also be applicable.)

1. The stockbroker is eligible for providing Internet Based Trading (IBT) and securities trading through the use of wireless technology that shall include the use of devices such as mobile phone, laptop with a data card, etc. which use Internet Protocol (IP). The stockbroker shall comply with all requirements applicable to internet based trading/securities trading using wireless technology as may be specified by SEBI & Exchanges from time to time.
2. The client is desirous of investing/trading in securities and for this purpose, the client is desirous of using either the internet based trading facility or the facility for securities trading through the use of wireless technology. The Stockbroker shall provide the Stockbroker's IBT Service to the Client, and the Client shall avail of the Stockbroker's IBT Service, on and subject to SEBI/Exchanges Provisions and the terms and conditions specified on the Stockbroker's IBT website provided that they are in line with the norms prescribed by Exchanges/SEBI.
3. The stockbroker shall bring to the notice of client the features, risks, responsibilities, obligations, and liabilities associated with securities trading through wireless technology/internet/smart order routing or any other technology should be brought to the notice of the client by the stockbroker.
4. The stockbroker shall make the client aware that the Stockbroker's IBT system itself generates the initial password and its password policy is as stipulated in line with norms prescribed by Exchanges/SEBI.
5. The Client shall be responsible for keeping the Username and Password confidential and secure and shall be solely responsible for all orders entered and transactions are done by any person whosoever through the Stock broker's IBT System using the Client's Username and/or Password whether or not such a person was authorized to do so. Also, the client is aware that authentication technologies and strict security measures are required for the internet trading/securities trading through wireless technology through order routed system and undertakes to ensure that the password of the client and/or his authorized representative are not revealed to any third party including employees and dealers of the stockbroker.
6. The Client shall immediately notify the Stockbroker in writing if he forgets his password, discovers security flaw in Stockbroker's IBT System, discovers/suspects discrepancies/unauthorized access through his/her username/password/account with full details of such unauthorized use, the date, the manner and the transactions effected pursuant to such unauthorized use,etc.
7. The Client is fully aware of and understands the risks associated with availing of a service for routing orders over the internet/securities trading through wireless technology and Client shall be fully liable and responsible for any and all acts done in the Client's User name/password in any manner whatsoever.
8. The stockbroker shall send the order/trade confirmation through email to the client at his request. The client is aware that the order/trade confirmation is also provided on the web portal. In case the client is trading using wireless technology, the stockbroker shall send the order/trade confirmation on the device of the client.
9. The client is aware that trading over the internet involves many uncertain factors and complex hardware, software, systems, communication lines, peripherals, etc. are susceptible to interruptions and dislocations. The Stockbroker and the Exchange do not make any representation or warranty that the Stockbroker's IBT Service will be available to the Client at all times without any interruption.
10. The Client shall not have any claim against the Exchange or the Stockbroker on account of any suspension, interruption, non- availability or malfunctioning of the Stock broker's IBT System or Service or the Exchange's service or systems or nonexecution of his orders due to any link / system failure at the Client/Stockbrokers/Exchange end for any reason beyond the control of the stockbroker/Exchanges.

Client Name:

Date:

Place:

First / Sole Holder Signature	Second Holder Signature	Third Holder Signature

UNDERTAKING / AUTHORISATION

With respect to Member–Constituent Relationship and Mandatory and Voluntary (optional) Documents executed between us, I/We do hereby authorize Fyers Securities Pvt. Ltd. to do the following:

1. ORDER PLACEMENT INSTRUCTIONS

I/We understand that you require written instructions from me/us for placing / modifying / cancelling orders. However, since it is not practical for me/us to give written instructions for placing/modifying/cancelling order. Even If I/we have facility to trade online through Internet and wireless technology, I/we may have to place orders by physically visiting /calling/ Emailing the Call centre / branch specified for the said purpose by Fyers Securities Pvt. Ltd. in case of breakdown of internet connectivity or other similar reasons.

I/We hereby request you to kindly accept my/our, my/our authorized representative or mandate holder's verbal orders/instructions, in person or over phone and execute the same. I/We understand the risk associated with placement of verbal orders and accept the same. I/We shall not disown orders under the plea that the same were not placed by me/us provided I/we am/are sent ECN/Physical contract notes or trade confirmations through SMS and other modes. I/We indemnify Fyers Securities Pvt. Ltd. and its employees against all losses,damages, actions which you may suffer or face, as a consequence of adhering to and carrying out my/our instructions for orders placed verbally.

2. ERRORS AND OMISSIONS

I/We understand and agree that inadvertent errors may occur, while executing orders placed by me/us. In such circumstances Fyers Securities Pvt. Ltd. shall make all reasonable efforts to rectify the same and ensure that I/we am/are not put to any monetary loss. I/We understand and agree that I/we shall not hold Fyers Securities Pvt. Ltd. responsible beyond this and claim additional damages/loss.I/We understand and agree that my/our request to modify or cancel the order shall not be deemed to have been executed unless and until the same is confirmed by Fyers Securities Pvt. Ltd..

3. NO MARKET MANIPULATION

I/We undertake not to execute transactions, either singly or in concert with other clients, which may be viewed as manipulative trades viz. artificially raising, depressing or maintaining the price, creation of artificial volume, synchronized trades, cross trades, self trades, etc or which could be termed as manipulative or fraudulent trades by SEBI/Exchanges. In case I/we am/are found to be indulging in such activities, Fyers Securities Pvt. Ltd. has every right to inform the Exchange/SEBI/other regulatory authority of the same and suspend/close my/our trading account.

4. NOT TO ACT AS UNREGISTERED SUB BROKERN

I/We undertake not to act as unregistered Sub-broker and deal only for myself/ourselves and not on behalf of other clients. In case I/we wish to deal for other clients also, I/we undertake to apply to SEBI through Fyers Securities Pvt. Ltd. to obtain a sub broker registration. In case Fyers Securities Pvt. Ltd. perceives that I/we am/are acting as an unregistered sub broker, Fyers Securities Pvt. Ltd. has the right to immediately suspend my/our trading account and close all open positions and adjust the credits (across all segments) against the dues owed by me/us to Fyers Securities Pvt. Ltd. without the requirement of any notice from Fyers Securities Pvt. Ltd.. Further, Fyers Securities Pvt. Ltd. has the right to inform the concerned regulatory authorities about the same. In aforesaid eventuality, I/we agree and undertake to indemnify Fyers Securities Pvt. Ltd. from any loss/ damage/claim arising out of such activity.

5. NOT DEBARRED BY ANY REGULATOR

I/We confirm and declare that there is no bar on me/us imposed by any Exchange or any Regulatory and/or Statutory authority to deal in securities directly or indirectly. I/We agree to inform Fyers Securities Pvt. Ltd., in writing, of any regulatory action taken by any Exchange or Regulatory/ Statutory authority on me/us in future. In case I/we fail to inform the same and Fyers Securities Pvt. Ltd. on its own comes to know of such action, Fyers Securities Pvt. Ltd. has the right to suspend/close my/our trading account and refuse to deal with me/us. Also,Fyers Securities Pvt. Ltd. can at its sole discretion, close all the open positions and liquidate collaterals to the extent of debit balances, without any notice to me/us.

6. PMLA DECLARATION

I/We declare that I/we have read and understood the contents and the provisions of the PMLA Act, 2002, which were also explained to me/us by Fyers Securities Pvt. Ltd. officials. I/We further declare that I/we shall adhere to all the provisions of PMLA Act, 2002.

I/We further undertake and confirm that;

1. I/We do not have any links with any known criminal
2. I/We am/are a genuine person/persons and not involved or indulge knowingly or assisted, directly or indirectly, in any process or activity connected with the proceeds of crime nor I/we am/are a party to it. The investment money is derived from proper means and does not involve any black or Hawala money in any manner.

7. INDEMNIFICATION

I/We hereby indemnify and hold Fyers Securities Pvt. Ltd., its Directors/Partners and employees harmless from and against all claims, demands, actions, proceedings, losses, damages, liabilities, charges and/or expenses that are occasioned or may be occasioned to the Fyers Securities Pvt. Ltd. directly or indirectly, relating to bad delivery of shares/ securities and/ or third party delivery, whether authorized or unauthorized and fake/forged/stolen shares/ securities/transfer documents introduced or that may be introduced by or through me/us during the course of my/our dealings/ operations on the Exchange(s) and/ or proof of address, identity and other supporting/ documents provided by me/us at the time of registration and/ or subsequently.

INDEMNITY OF JOINT HOLDINGS

I/We hereby agree to indemnify and hold Fyers Securities Pvt. Ltd. harmless from any claims, demands, actions, proceedings, losses, damages, liabilities, charges

8. MUTUAL FUNDS

I/We am/are interested in availing the StAR Mutual Fund facility of the exchange for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the StAR platform of the Exchange. For the purpose of availing the StAR Mutual Fund facility, I state that "Know Your Client" details as submitted by me for the opening of Trading Account may be considered for the purpose of StAR and I/we further confirm that the details contained in the same remain unchanged as on date.

I/We am/are willing to abide by the terms and conditions as has been specified and as may be specified by the Exchange from time to time in this regard. I/We shall ensure also compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI).

I/We shall read and understand the contents of the of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which I/we choose to subscribe/redeem. I/We further agree to abide by the terms and conditions, rules and regulations of the respective Mutual Fund Schemes subscribed by me/us.

9. DELAYED PAYMENT CHARGES

I understand that in case my account is in debit balance and/or if I have insufficient funds to manage my trading positions, I will be charged an interest of 0.05% per day as delayed payment charges. I confirm having read the rules & regulations pertaining to the levy of such interest under the policies & procedures page on Fyers Securities Pvt. Ltd. website.

10. NRI DECLARATION

I/We understand that if the sole/first applicant has or attains NRI Status, investments in scheme of mutual funds can be made only upon providing Foreign Inward Remittance Certificate (FIRC) to Fyers Securities Pvt. Ltd. every time the investment is made.

11. THIRD-PARTY PAYMENTS

Fyers Securities Pvt. Ltd. shall have the prerogative to refuse payments received from any bank account where the client is not the first holder or which is not mentioned in the KYC or which the client has not got updated subsequently by submitting a written request along-with adequate proof thereof as per proforma prescribed by Fyers Securities Pvt. Ltd.. Fyers Securities Pvt. Ltd. shall not be responsible for any loss or damage arising out of such refusal of acceptance of payments in the situations mentioned above.

However, due to oversight, if any such third-party payment has been accepted by Fyers Securities Pvt. Ltd. and the credit for the same has been given in the client's ledger, Fyers Securities Pvt. Ltd. shall have the right to immediately reverse such credit entries on noticing or becoming aware of the same. In such a case, Fyers Securities Pvt. Ltd. reserves the right to liquidate any of the open positions and/or any of the collaterals received/ held on behalf of the client. Fyers Securities Pvt. Ltd., its Directors/Partners and employees shall not be responsible for any consequential damages or losses.

12. NO DEALINGS IN CASH

Fyers Securities Pvt. Ltd. as a policy neither accepts any funds for pay-in/margin in cash nor makes any payment or allows withdrawal of funds in cash. No claim will be entertained where the client states to have made any cash payment or deposited cash with any Branch/SubBroker/Remisier/Employee/Authorised Person of Fyers Securities Pvt. Ltd..

13. DELIVERIES

The client shall ensure that the shares are properly transferred to the designated demat account of Fyers Securities Pvt. Ltd., for effecting delivery to the Exchange against the sale position of the client. Such transfers shall be entered by the client within the time specified by SEBI/Exchanges/Fyers Securities Pvt. Ltd.. In case the client fails to transfer the shares on time to Fyers Securities Pvt. Ltd., Fyers Securities Pvt. Ltd. shall not be responsible for any loss/damages arising out of such delayed transfers.

14. SQUARING OFF OF POSITIONS & SALE /LIQUIDATION OF COLLATERAL MARGINS

The client shall settle the transactions, within the Exchange specified settlement time, by making the requisite payment of funds and/or delivery of the shares. In case the client fails to settle the transactions within the settlement date, then Fyers Securities Pvt. Ltd. has the right to square off the open and/or unpaid positions, at an appropriate time, as it deems fit, without any notice to the client. The client shall not have any right or say to decide on the timing of closure of the open positions that needs to be closed. Fyers Securities Pvt. Ltd., its Directors/Partners and Employees shall not be responsible for any loss or damages arising out of such square offs. All such square off transactions shall have implied consent and authorization of the client in favour of Fyers Securities Pvt. Ltd..

After such square off of open positions by Fyers Securities Pvt. Ltd., as mentioned in above clauses, if there is a debit balance, the client shall pay the same immediately. However, if the client does not clear off the debit balance, Fyers Securities Pvt. Ltd. shall have the right to liquidate the shares and other securities of the client (kept as collateral/margin) to the extent of the debit balance, without any intimation to the client. The client shall not have the right to decide on the timing of liquidation of shares and securities held in collateral/margin and the shares and securities that needs to be sold or liquidated. Fyers Securities Pvt. Ltd., its Directors/Partners and employees shall not be responsible for any loss or damages arising out of such selling.

15. MOBILE & EMAIL DECLARATION

The client hereby declares that they authorize Fyers Securities Pvt. Ltd. to send communication on the provided mobile number and email address. Fyers Securities Pvt. Ltd. is authorised to send transactional, relevant information and promotional content on mobile as well as email.

16. CLIENT DEFAULTER DECLARATION

The client hereby declares that they are not involved in any terrorist activities and have not been declared a defaulter and their name does not appear in the defaulter database as per SEBI / Various Exchange(s) / Regulatory Bodies, etc.

Date:

Client

Name:

First / Sole Holder Signature	Second Holder Signature (If Applicable)	Third Holder Signature (If Applicable)

Authorisation Letters

Running Account Authorisation to Fyers Securities Pvt. Ltd. (Equity, Equity Derivatives and Currency Derivative Segments)

I/We are dealing through you as a client in Capital Market and/or Equity Derivative segment and/or Currency Derivative segment and/or Interest Rate future Segment and/or Commodity Derivative Segment & in order to facilitate ease of operations and upfront requirement of margin for trade

1. I/We request you to maintain running balance in my /our account & retain the credit balance in any of my/our account and to use the unused funds towards my/our margin/pay-in/other future obligation(s) at any segment(s) of any or all the Exchange(s)/Clearing corporation unless I/We instruct you otherwise.
2. I/We request you to retain securities with you for my/our margin/pay-in/other future obligation(s) at any segment(s) of any or all the Exchange (s)/Clearing Corporation, unless I/We instruct you to transfer the same to my/our account.
3. I/We request you to settle my/our fund and securities account (Choose one option)

Once in a calendar Month Once in every calendar Quarter

except the funds given towards collaterals / margin in the form of Bank Guarantee and /or Fixed Deposit Receipt

4. In case I/We have an outstanding obligation on the settlement date, you may retain the requisite securities/funds towards such obligations and may also retain the funds expected to be required to meet margin obligation for next 5 trading days, calculated in the manner specified by the exchanges.
5. I/We confirm you that I / we will bring to your notice any dispute arising from the statement of account or settlement so made in writing within 7 working days from the date of receipt of funds/securities or statement of account or statement related to it, as the case may be at your registered office.
6. I/We confirm you that I / we can revoke the above mentioned authority at any time.
7. All accounts with credit balances of Rs. 10000/- or less shall be automatically retained and transfer of funds to the bank account shall not be made as per SEBI circular MIRSD/SE/Cir-19/2009 & Exchange Circular No. NSE/INSP/24849, 20091204-7 & MCXSX-238/2009.

Running Account Authorisation to Fyers Securities Pvt. Ltd. (Commodities)

I am/We are dealing in commodities with you and in order to facilitate ease of operations, I/we authorize you as under

1. Authorization to Retain Credit Balance: I / We request you to retain credit balance in any of my / our account and to use the idle funds towards our margin/future obligations at the Exchanges unless I/ we instruct you otherwise.
2. Running Account Authorization: I/We am /are desirous of regularly dealing in commodity market on Commodity Exchanges and request you to maintain a running account for funds and commodities on/our behalf without settling the account on settlement of each transaction on my/our behalf. I/We further request you to retain all amounts payable and commodities receivable by me/us until specifically requested by me/us in writing to be settled or to be dealt with in any other manner. I/We understand and agree that no interest will be payable to me/us on the amounts or commodities so retained with you pending periodical settlement. This running account authorization would continue until it is revoked by me. I/We request you to settle my/our fund and securities account (Choose one option).

Once in a calendar Month

Once in every calendar Quarter

except the funds given towards collaterals/margin in the form of Bank Guarantee and/or Fixed Deposit Receipt All accounts with credit balances of Rs. 50000/- or less may be automatically retained and transfer of funds to the bank account may not be made as per SEBI circular MIRSD/SE/Cir-19/2009 & Exchange Circular Nos. MCX/INSP/325/2016 &. I/We confirm you that I will bring to your notice any dispute arising from the statement of account or settlement so made in writing within 7 working days from the date of receipt of funds/securities or statement of account or statement related to it, as the case may be at your registered office.

Authorisation for Receipt of Contract Notes and Other Documents in Digital Mode

I/We hereby consent to receive from Fyers Securities Pvt. Ltd. the digital contract notes, bills, ledgers, transaction statements, demat statement of accounts/ holding statement(s)/bills/other statements, notices, circulars, amendments and such other correspondence documents, records by whatever name called in electronic form duly authenticated by means of a digital signature as specified in the Information Technology Act 2000 and the rules made thereunder to my mentioned email id. I/We further hereby agree that the Fyers Securities Pvt Ltd. shall fulfill the legal obligation, if the above documents are sent electronically to the said e-mail id and I/We am/are aware that I/we will not receive the statements / documents in paper form. In this regard, I/we further confirm and agree that:

1. Non-receipt of bounced mail notification by you shall amount to delivery at my email account /email id.
2. Not to hold you responsible for late/non-receipt of contract notes sent in electronic form and any other communication for any reason including but not limited to failure of email services, loss of connectivity, email in transit etc.
3. Log reports of your dispatching software shall be a proof of dispatch of contract notes to me and such dispatch shall be deemed to mean receipt by me and shall not be disputed by me on account of any non-receipt/delayed receipt for any reason whatsoever.
4. I am required to intimate any change in the email id/email account mentioned herein above needs to be communicated by me through a physical letter to you, provided however that if I am an internet client then in that event the request for change in email id/email account can be made by me through a secured access using client specific user id and password. Please treat this authorization as written ratification of my verbal directions/authorizations given and carried out by you earlier. I shall be liable for all losses, damages and actions which may arise as a consequence of your adhering to and carrying out my directions given above.

Date:

Client

Name:

First / Sole Holder Signature	Second Holder Signature (If Applicable)	Third Holder Signature (If Applicable)

Electronic Contract Note (ECN) Declaration (Voluntary & Applicable only for Commodities) APPENDIX - A

To,
Fyers Securities Pvt Ltd.

Dear Sir/Madam,

I, a client with member Fyers Securities Pvt Ltd of Commodity Exchanges undertake as follows:

1. I am aware that the Member has to provide physical contract note in respect of all the trades placed by me unless I myself want the same in the electronic form
2. I am aware that the Member has to provide electronic contract note for my convenience on my request only
3. Though the Member is required to deliver a physical contract note, I find that it is inconvenient for me to receive physical contract notes. Therefore, I am voluntarily requesting for delivery of electronic contract note pertaining to all the trades carried out/ordered by me.
4. I have access to a computer and am a regular Internet user, having sufficient knowledge of handling the email operations.
5. The email ID mentioned below is mine. This has been created by me and not by someone else.
6. I am aware that this declaration form should be in English or in any other Indian language known to me.
7. I am aware that non-receipt of bounced email notification by the member shall amount to delivery of the contract note at the above email ID

The above declaration and guidelines on ECN given in the Annexure have been read and understood by me. I am aware of the risk involved in dispensing with the physical contract note and do hereby take full responsibility for the same.

Email:			
Name:			
Address:			
PAN		Client Code	
Date		Place:	
First / Sole Holder Signature	Second Holder Signature (If Applicable)	Third Holder Signature (If Applicable)	

FOR OFFICE USE ONLY

Fyers Securities Pvt Ltd

Date:	
Place:	Bangalore
Verification of client signature done by	
Signature	

Nomination Form

[Annexure A to SEBI circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2021/601 dated July 23, 2021 on Mandatory Nomination for Eligible Trading and Demat Accounts]

TM / DP	FORM FOR NOMINATION																																				
Name and Address	(To be filled in by individual applying singly or jointly)																																				
Date																UCC/ DP ID											Client ID										
I/We wish to make a nomination. [As per details given below]																																					
Nomination Details																																					
I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.																																					
Nomination can be made upto three nominees in the account																Details of 1st Nominee	Details of 2nd Nominee	Details of 3rd Nominee																			
1. Name of the nominee(s) (Mr./Ms.)																																					
2. Share of each Nominee																Equally [If not equally, please specify percentage]		Any odd lot after division shall be transferred to the first nominee mentioned in the form.																			
3. Relationship With the Applicant (If Any)																																					
4. Address of Nominee(s)																																					
4. City / Place:																																					
State & Country:																																					
PIN Code																																					
5. Mobile / Telephone No. of nominee(s)																																					
6. Email ID of nominee(s)																																					
7. Nominee Identification details - [Please tick any one of following and provide details of same]																																					
<input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Saving Bank account no. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Aadhaar <input type="checkbox"/> Demat Account ID																																					

Sr. Nos. 8-14 should be filled only if nominee(s) is a minor:				
8.	Date of Birth {in case of minor nominee(s)}			
9.	Name of Guardian (Mr./Ms.)			
10.	Address of Guardian(s)			
	City / Place: State & Country:			
	PIN Code			
11.	Mobile / Telephone no. of Guardian			
12.	Email ID of Guardian			
13.	Relationship of Guardian with nominee			
14.	Guardian Identification details - [Please tick any one of following and provide details of same]			
	<input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Saving Bank account no. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Aadhaar <input type="checkbox"/> Demat Account ID			
Name(s) of holder(s)				Signature(s) of holder*
Sole / First Holder (Mr./Ms.)				
Second Holder (Mr./Ms.)				
Third Holder (Mr./Ms.)				

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

Note:

This nomination shall supersede any prior nomination made by the account holder(s), if any.

The Trading Member / Depository Participant shall provide acknowledgement of the nomination form to the account holder(s)

Declaration Form for opting out of nomination

	Date								
To Trading Member/Participant's Name Trading Member/Participant's Address	Fyers Securities Pvt Ltd. 225 1st Block R T Nagar Bangalore Karnataka - 560032. India.								
UCC/DP ID									
Client ID (only for Demat account)									
Sole/First Holder Name	{% if is_nomination==0 %}{% endif %}								
Second Holder Name									
Third Holder Name									
I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.									
Name and Signature of Holder(s)*									
1. _____ 2. _____ 3. _____									

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

Family Declaration for Mobile No. & Email Id

I _____ having _____, hereby declare that the below information is true to my knowledge:

- a) The Mobile No. _____ belongs to _____
- b) The Email Id _____ belongs to _____

First / Sole Holder Signature	Second Holder Signature (If Applicable)	Third Holder Signature (If Applicable)

Name	
PAN	
Registered Mobile Number	
Registered Email	

DEMAT Debit And Pledge Instruction Annexure “A”

KNOW ALL MEN/ WOMEN/ CHILD BY THESE PRESENTS THAT I/WE Mr. / Mrs. / M/s

(First Holder) _____

(Second Holder) _____

(Third Holder) _____

an Individual/ a sole proprietary concern/ a partnership firm /a body Corporate/trust, registered/incorporated, under the provisions of the Indian Partnership Act, 1932/the companies Act 1956 or any relevant Act, having his/her/its residence/registered office/place of business at

(hereinafter referred to as “ Beneficial Owner “) wish to avail / have availed the broking/ E-broking facilities and other services offered(hereinafter referred to as “ Services”), by FYERS Securities Pvt. Ltd. (hereinafter referred to as ”FYERS Securities Pvt. Ltd. ”) a company incorporated under the companies Act 1956 and having its Corporate office at “19 & 20, 3rd Main, Gandhinagar, Bangalore 560009”and is a Member (Trading Member) of The National Stock Exchange of India Limited (NSE),Bombay Stock Exchange limited (BSE) Respectively(hereinafter referred to as “the Exchange”) and is also a “Depository Participant” registered with Central Depository Services (India) Ltd(CDSL)and National Securities Depository Limited (NSDL)

Whereas

A. The Client is desirous of investing in Securities and has opened / is in the process of opening an account for the purpose of availing stock broking, depository participant, distribution of mutual fund units, other third party financial products, if any and/or other services including but not limited to trading through internet broking services offered through the FYERS Securities Pvt. Ltd. web portal.

B. FYERS Securities Pvt. Ltd. has furnished the particulars of various beneficial owners account and the bank account in the scheduled attached hereto where the funds and the securities will be moved and further the client has agreed that FYERS Securities Pvt. Ltd. Is entitled to modify the said particulars from time to time after informing the client about the same.

C. In the course of availing the services and for meeting the settlement Obligation thereof on the Exchange and **Pledging/ Re-Pledging of Securities for Margin purpose**, I/We do hereby nominate, Constitute and appoint M/s. FYERS Securities Pvt. Ltd.(Member Broker), Hereinafter referred to as “FYERS Securities Pvt. Ltd. ” acting through their Directors and/or duly authorized staff for the purpose, as my/our true and lawful attorneys for my depository account with FYERS Securities Private Limited (Depository Participant) DPID12089400 (CDSL) & DPID IN304502 (NSDL) Client ID _____ to execute and performs severally the following acts, deeds, matters and things, provided the attorney complies with all applicable conditions of all or any of their services offered by them in their capacity as stock Brokers.

1. To operate depository account/(s)for the purpose of transferring any collateral to the margin account and honoring delivery obligations for any transaction executed with FYERS Securities Pvt. Ltd. which is registered as a Depository Participant and a stockbroker registered with the Securities and Exchange Board of India(SEBI).
2. To Sign instruction on my behalf with respect to debit / credit the depository account/(s) for the credit or benefit of my/our account with FYERS Securities Pvt. Ltd. , for the transactions carried by me/us with FYERS Securities Pvt. Ltd. .
3. To issue instructions relating, executing delivery/receipt instructions, pledge creation instructions, pledge closure instructions, lending and borrowing instructions, to operate the depository account by issue and receipt of instructions for the above mentioned purpose and such other authorization given by me/us severally on behalf of all of us, or all/any of us jointly, in any electronic form, in any format and at any time either through the portal of FYERS Securities Pvt. Ltd. or through the internet will be validly constituted attorney to intimate the same to the Depository participant for the purposes of debiting or crediting my account opened with the Depository Participant.
4. To validate on my/our behalf any such instructions so given to the Depository Participant(S), in written/physical or other form as maybe required by the concerned depository participant.
5. For these purposes and to this extent, FYERS Securities Pvt. Ltd. is empowered by me/us, to affix their signatures to

- any document, form or any other record, being a delivery participant, as required by the concerned depository.
6. To transfer funds from the bank account for recovering any outstanding amount due from me/us arising out of our trading activities on the stock exchange through FYERS Securities Pvt. Ltd. .
 7. I/We also undertake to pay such DEMAT charges/fees and such other charges incurred by FYERS Securities Pvt. Ltd. under this Power of Attorney and that I/ We further authorize FYERS Securities Pvt. Ltd. to debit my/our account with FYERS Securities Pvt. Ltd. with the said charges as and when the same becomes due for payment and I/we hereby undertake to pay the same immediately on demand made by FYERS Securities Pvt. Ltd. .
 8. To enter into correspondence with market participant with respect to any transaction in any Investment Products.
 9. To forward all such applications placed through the web site to the online -IPO module of the concerned Exchange or owner / issuer of "Investment Product".
 10. To receive intimation from the Exchange and any other party regarding the allocation / allotment / rejection / regret of the securities or such other "Investment Product" applications / subscriptions / withdrawal or any other communications.
 11. To authorize FYERS Securities Pvt. Ltd. to invest on behalf of me/us and to hold the mutual fund/ asset management company(ies) based on the re quest given by me /us. I confirm not to hold such mutual fund/asset management company / FYERS Securities Pvt. Ltd. liable for any transaction processed based on my/our request to correspond with and give notice to the corresponding asset management company / body corporate(s) / issuer / registrar and transfer agent of securities including giving instructions with regard to nomination/change in investment plans/ any other changes that may be necessitated pursuant to the authorization given by me/ us to FYERS Securities Pvt. Ltd. in this regard.
 12. To transfer funds from the bank account for meeting obligations arising out of my/our subscribing to such other products/facilities/services through FYERS Securities Pvt. Ltd. like Mutual Funds, Public Issues (shares as well as debentures), rights, offer of shares in etc.
 13. To deposit/ transfer on allotment, the securities, debentures, units of the mutual funds and/or all other investments products applied through FYERS Securities Pvt. Ltd. , on allotment, to my/our Beneficial Owner DEMAT Account opened for the purpose with the FYERS Securities Pvt. Ltd - DP .
 14. To do all other acts and things as may be necessary to affect the subscription/purchase/redemption or any other transaction in any investment Product for which services are availed from FYERS Securities Pvt. Ltd. .
 15. To debit my Trading account towards monies/fees/charges etc. payable to FYERS Securities Pvt. Ltd. Or to a market participant Service provider or to any of the affiliates/subsidiaries of FYERS Securities Pvt. Ltd. by virtue of I/We using /subscribing to any of the facilities/service provided either by FYERS Securities Pvt. Ltd. or through a third party service provider or by any other security or financial instrument on behalf of me/us through FYERS Securities Pvt. Ltd. or any market participant. To bind ourselves with respect to any instructions given by first holder or any other holder to FYERS Securities Pvt. Ltd.
 16. In case of any erroneous transfer done by attorney, the attorney may return the same to my account as soon it comes to their notice.
 17. To send the consolidated summary of client wise scrip wise buy and sell position with average rates by email.
 18. To authorize FYERS Securities Pvt. Ltd. to transfer the securities to any of the DEMAT accounts of FYERS Securities Pvt. Ltd. As mentioned in Annexure B.
 19. To do or omit to do all such acts and things as TSL may in its discretion consider to be necessary or desirable in order to exercise its power hereunder or comply with any law, order rules, regulations or directions of any government or regulatory or other authorities.
 20. We the joint holders of DEMAT account agree, ratify and confirm to bind ourselves to any instructions given by the client hereinabove mentioned who shall be the exclusive beneficiary of the transactions carried out pursuant to this Power of Attorney in favor of the Director/(S) and/or the authorized Signatories, who have in token thereof, subscribed their signature thereto.

Sr. No.	Purpose	Signature of Client
1.	Transfer of securities held in the beneficial owner accounts of the client towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by clients on the Stock Exchange through the same stock broker	
2.	Pledging / re-pledging of securities in favor of trading member (TM) /clearing member (CM) for the purpose of meeting margin requirements of the clients in connection with the trades executed by the clients on the Stock Exchange.	

3.	Mutual Fund transactions being executed on Stock Exchange order entry platforms	
4.	Tendering shares in open offers through Stock Exchange platforms	

That the **DDPI** herein referred to is revocable at any time without notice subject to such revocation shall not be applicable for any outstanding settlement obligation arising out of the trades carried out prior to receiving request for revocation of **DDPI**. That, I/We hereby declare that all the actions taken by my/our above mentioned attorney (herein FYERS Securities Pvt. Ltd.) in this regard shall be deemed to be action done by me/us and if necessary shall be ratified by me/ us on the instruction of the said attorney and that such actions will be binding on me/us. I/We agree that FYERS Securities Pvt. Ltd. is entitled to credit the proceeds of any instruction.

Annexure B

Particulars	DP ID	Client ID	Particulars	DP ID	Client ID
CDSL NSE POOL A/C	12089400	00000091	CDSL NSE EARLY PAY-IN A/C	11000011	00020231
Client Unpaid Securities A/C	12089400	00000049	CMBP ID - NSDL	IN569379	Nil
CDSL BSE Pool A/C	12089400	00139413	CMID	M51972	Nil
CDSL POOL NSE_SLBM	12089400	00283181	CDSL POA ID	22089400	00000035
CDSL NSE_SLB EARLY PAY IN	11000023	00001279	CDSL BSE EARLY PAY-IN A/C	11000011	000202126
CDSL DDPI ID	22089400	00000016	NSDL POOL A/C	IN304502	00000070
CDSL MARGIN PLEDGE A/C	12089400	00283158	NSDL DDPI ID	100016	

Dated at Bengaluru on this day _____ of _____

First / Sole Holder Signature	Second Holder Signature (If Applicable)	Third Holder Signature (If Applicable)

Details of the Witness	
Name	Name
Address	Address
Signature	Signature

NRI Client Details Form

1. Mother Name: _____
2. Marital Status: Single Married Other
3. Occupation: _____
4. Gross Annual Income: 1-5 Lakh 5-10 Lakh 10-Lakh 25 Lakh- 1 Crore >1 Crore
5. Account Type: NRE PISAccount NRO PIS Account NRO NON-PIS Account
6. TIN(Tax Identification Number *Mandatory*
 (For USA- SSN, EIN or ITIN), (For Canada - SIN), (For UK - UTR/NINO), (For Singapore - NRIC/FIN), (For Australia - TFN number).

7. Do you wish to send a duly signed physical POA [Power of Attorney] Form? - Yes / No.
 Yes, I wish to send a duly signed hard copy of the POA form.
 No, I confirm you to enable the CDSL TPIN facility.
8. Address to be registered *(Mandatory).
 a. Correspondence Address: Indian Address Overseas Address
 b. Indian address proof: AADHAAR Passport Driving Licence Others _____
9. KRA verified confirmation:
 Since my KRA is verified under the NRI category and I acknowledge that all the details are matching as per my current KYC documents. Hence, I would like to confirm that, you can process my application form as per my KRA verified fetch details.
 I am dispatching all my KYC documents [PAN, Passport, Visa, Overseas proof and Indian address proof] physically notarised and self-attested.
10. Have you sent the forms for scan validation:
 Yes, Please fill the FYERS Ticket Number:- _____
 No

Name: [As per PAN] -

Place:

Date:

Signature:

FEMA Declaration

To,

Fyers Securities Pvt. Ltd.

No. 225, 14th Cross Rd,
1st Block, RT Nagar,
Bangalore, Karnataka - 560032

Dear Sir,

Re: Application Number:

Sub: Application for opening of an NRI/FN/FCB account

This has reference to my/our application for opening of a trading & demat account with you.

In this connection, I/we hereby declare that I/we have complied and will continue to comply with FEMA Regulations with respect to buying and selling of securities in the Indian Capital Market.

Thanking you,

Yours truly

Date:

Annexure to AccountForm for Individuals containing information for reporting requirement under Section 285BA of the Income-tax Act, 1961 and KYC.

Background:

1. India has joined the Multilateral Competent Authority Agreement (MCAA) on Automatic Exchange of Financial Account Information (AEOI) on June 3, 2015 and has agreed to certain global standards on automatic exchange of information, known as Common Reporting Standards (CRS). Further, the Government of India (GoI) signed an Inter-Governmental Agreement (IGA) with United States of America (USA) on July 9, 2015 to improve international tax compliance and to implement Foreign Account Tax Compliance Act (FATCA) in India
2. To implement the CRS on AEOI and also the IGA with USA, the GoI has made necessary amendments in Section 285BA of the Income-tax Act, 1961 and notified Rules 114F to 114H in the Income-tax Rules, 1962 vide amendment dated August 7, 2015. These Rules are available on: <http://www.incometaxindia.gov.in>
3. SEBI has issued a circular dated August 26, 2015 advising all registered intermediaries to implement FATCA and CRS as per above mentioned Rules.

We do understand that the information mentioned above is technical in nature and hence we advise you to consult your financial or tax advisor for more details.

Applicant's Name:		
Client Id / Form No:	Father's name:	
PAN:	Place of Birth(City):	Country of Birth :
Gender: <input type="checkbox"/> M- Male <input type="checkbox"/> F-Female <input type="checkbox"/> O-Others	Nationality: <input type="checkbox"/> Indian <input type="checkbox"/> Others (Please specify the country name):	
Occupation:	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others <input type="checkbox"/> Not Categorized	
Account Type:	<input type="checkbox"/> Residential Or Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office <input type="checkbox"/> Unspecified	
City/Town:	State:	
Country:	Postal Code:	

1. **Declaration of Tax Residency / Citizenship** Country of tax residence India - Yes/No.
2. Tax resident of Multiple Countries - Yes/No If tax resident of multiple countries, kindly provide information of tax residence of all countries. If Point 1 is Yes and Point 2 is No, no further information required to be provided. If Point 1 is No OR if both Point 1 and 2 are Yes, then the following should be provided alongwith with documentary evidence:

Country/countries of tax residency	Tax Identification Number (TIN)/ functional equivalent number	TIN/ functional equivalent Issuing Country	Documents provided (copy of certificate of tax residence or copy of TIN or others)	Date upto which the doumentary evidence is valid

Signature of the Applicant

Remarks if any :

Declaration and Undertakings

The Customer/account holder certifies that:

- a. The information provided in the Form is in accordance with Section 285BA of the Income Tax Act, 1961 read with Rules 114F to 114H of the Income-tax Rules, 1962.
- b. the information provided by me/us in the Form, its supporting Annexures as well as in the documentary evidence provided by me/us are, to the best of our knowledge and belief, true, correct and complete and that I/we have not withheld any material information that may affect the assessment categorization of the account as a Reportable account or otherwise.
- c. I/We permit/authorise the Company to collect, store, communicate and process information relating to the Account and all transactions therein, by the Company and any of its affiliates wherever situated including sharing, transfer and disclosure between them and to the authorities in and/or outside India of any confidential information for compliance with any law or regulation whether domestic or foreign
- d. I /We undertake the responsibility to declare and disclose within 30 days from the date of change, any changes that may take place in the information provided in the Form, its supporting Annexures as well as in the documentary evidence provided by us or if any certification becomes incorrect and to provide fresh self certification alongwith documentary evidence.
- e. I/We also agree that our failure to disclose any material fact known to us, now or in future, may invalidate our application and the Company would be within its right to put restrictions in the operations of my/our account or close it or report to any regulator and/or any authority designated by the Government of India (GOI) Reserve Bank of India for the purpose or take any other action as may be deemed appropriate by the Company if the deficiency is not remedied by us within the stipulated period.
- f. I/We hereby accept and acknowledge that the Company shall have the right and authority to carry out investigations from the information available in public domain for confirming the information provided by me / us to the Company
- g. It shall be my responsibility / our responsibilities to educate myself / ourselves and to comply at all times with all relevant laws relating to reporting under Section 285BA of the Act read with the Rules thereunder.
- h. We also agree to furnish such information and/or documents as the Company may require from time to time on account of any change in law either in India or abroad in the subject matter herein.
- i. I/We shall indemnify the Company for any loss that may arise to the Company on account of providing incorrect or incomplete information.

Date:

Place:

Signature of the Applicant

Date:

To,

Fyers Securities Pvt. Ltd.
No. 225, 14th Cross Rd,
1st Block, RT Nagar,
Bangalore, Karnataka - 560032

Dear Sir / Madam,

Re :

Sub :

Application No. _____

Declaration towards address provided in the account opening form {NRI / FN} This has reference to my / our application for opening of a demat account with you In this connection, please find below our residential address:

Further, I/ we confirm that in case of any change in our above residential address, the same will be brought to your notice

Thanking you,

Yours truly,

Sole / First Holder Authorised Signatory

Second Holder / Authorised Signatory

Third Holder / Authorised Signatory